

AL HaPI File Requirements

Revised 8/6/2015

Version 4 Updated 11/5/2014

All files will be plain ASCII text files, with a pipe “|” delimiter. In addition, they must adhere to the following naming guideline:

File Naming Guideline: <ProviderID>_<FileDescription>_YYYYMMDD_<FileCounter>.<ext>

1. **ProviderID No:** This should be the Provider's unique identifier which equates to the VenderNo in Harmony
2. **FileDescription:** This is an abbreviated description of the type of file, for example an Inquiry file.
3. **Date:** This should be the date the file was submitted
4. **FileCounter:** This portion of the file name should be used when a provider submits more than 1 file on the same day; it should be incremented by 1 for each subsequent file.
5. **ext:** This will be a unique file extension which will determine which set of business rules will be operated upon this file.

Screening File Format (Inquiry)

The file must be in this format with a file extension of {inq} in order to upload successfully

Sample file name:

206_Inquiry_20150715_01.inq

Basic Info HEADER	Provider ID No MemberID for Worker Number of Records in the File Update desired?
Row 1	 means Begin Row and Screen Design ID# (3 for UNCOPE, 4 for CRAFFT) MemberID for Worker Date of Inquiry Fund Code
Row 2	First Name of Consumer Last Name of Consumer Middle Name Alias1 Alias2 SSN Street1 Street2 City State Zip Code Home Phone Work Phone Residence County DOB Gender Race Ethnicity
Row 3	Question ID# Answer Question ID# Answer <ER> means End Row
206 228 1 Y 4 228 20071107 SA Fred Testcase L Alias1 Alias2 123-45-6789 524 Eastbrook Drive Birmingham AL 35215 (555)555-5555 (555)555-5556 Jefferson 19680918 Male White Not of Hispanic Origin 20 Yes 21 No 22 Yes 23 No 24 Yes 25 No 26 3 <ER>	

HaPI Assessment files and Enrollment/Facility info File Format

The file format of the assessment record is being expanded to accommodate for an optional Enrollment row (row 4). It is essential that “previously” valid files still are able to be processed so for each record there can now be 2, 3, or 4 lines.

The file format is:

- **Header** Basic Information (Provider ID, MemberID for Worker, # of records, etc)

For each individual record a
<ER> will be utilized to show the begin and end respectively. There may be 2, 3 or 4 rows as follows:

- **Row 1** (required): Screen Design, CaseNo, MemberID for Worker, Date of Inquiry, FundCode
- **Row 2** (required): Assessment Information
- **Row 3** (optional): Diagnostic Information
- **Row 4** (optional): Enrollment/Facility Information

If the enrollment row is provided, the Diagnostic row is also “required” and will contain just blanks and pipes if no diagnosis data is being uploaded. The Enrollment Row is “optional” and can contain just blanks and pipes or it can be missing altogether.

Consumer Assessment File Format with Assessment, Diagnosis and Enrollment/Facility

206|228|1|Y| Header

**
1|358327|228|20070905|SA||Complete| Row 1**

-3|Testcase|-2|Fred|-1||110|Parent|111|Admission|112|None|113|Alcohol|114|Not Collected|115|No Use in the Past Month|116|Test
|166|No|167|No|168|No|169|Legally Married|170|First Grade|171|None|172|No| **Row 2**

Axis I Diag Primary|Axis I Secondary|Axis II Diag 1|Axis III Diag 1|Axis IV Diag 1||1|1|1|1|1|1|1|1|GAF|GAF|1| **Row 3**

206|Open|13|Open|<ER> Row 4

Enrollment Line format - Row 4

The enrollment line (if present) consists of 4 elements:

- Provider ID Number
- Enrollment Disposition: **OPEN** - in most cases disposition will be OPEN
- Facility Number: Facility No * **See note below for facility number**
- Facility Disposition: **OPEN** - in most cases disposition will be OPEN

***To get your facility number – in AS AIS select Provider (left side) when provider info displays, select Facility tab. Your facility will display in last column.**

Assessment, Diagnosis, Enrollment and Facility Format:

File Naming Guideline: <ProviderID No>_<FileDescription>_YYYYMMDD_<FileCounter>.<ext>

- 1. ProviderID No:** This should be the Providers unique identifier which equates to the VenderNo in Harmony
- 2. FileDescription:** This is an abbreviated description of the type of file, for example an Inquiry file.
- 3. Date:** This should be the date the file was submitted
- 4. FileCounter:** This portion of the file name should be used when a provider submits more than 1 file on the same day; it should be incremented by 1 for each subsequent file.
- 5. ext:** This will be a unique file extension which will determine which set of business rules will be operated upon this file.

The file must be in this format with a file extension of {asm} in order to upload successfully

Sample File name:

206_Assessment_20150715_01.asm

Header	Provider ID No MemberID for Worker Number of Records in the File Update desired?
Row 1	 means Begin Row Screen Design ID# CaseNo MemberID for Worker Review Date of Assessment Fund Code Status of Assessment
Row 2	-3 Last Name of Consumer -2 First Name of Consumer -1 Middle Initial of Consumer Question ID# Answer Question ID# Answer <ER> means End Row
Row 3	Axis 1 Primary Diag Code Axis 1 Secondary Axis 1 Tertiary Axis II Diag1 Axis III Diag1 1 1 1 1 1 1 1 1 GAF GAF 1 Must have 19 marks in Row 3 of file in order to process even if items are left blank
Row 4	Provider ID No Enrollment Disposition Facility No Facility Enrollment Disposition <ER>
	206 228 1 Y 1 358327 228 20070905 SA Complete -3 Testcase -2 Fred -1 109 No 110 Parent 111 Admission 112 None 113 Alcohol 114 Not Collected 115 No Use in the Past Month 116 Test Value 117 None 119 Oral 120 No Use in the Past Month 121 Test Value 122 None 123 124 Oral 125 No Use in the Past Month 126 Test Value 127 Full Time 128 Hearing 129 English Proficiency 130 Lives Alone 131 No 132 No 133 No 134 No 135 No 136 No 137 0 Previous episodes 138 Test Value 139 Wages/Salary 140 Self-Pay 141 Self-Pay 157 Intensive Outpatient 160 Service not available 161 Wait List 163 Yes 164 Yes 165 No 166 No 167 No 168 No 169 Legally Married 170 First Grade 171 None 172 No F10.20 206 Open 13 Open<ER>

Discharge File Format

File Naming Guideline: <ProviderID No>_<FileDescription>_YYYYMMDD_<FileCounter>.<ext>

6. **ProviderID No:** This should be the Providers unique identifier which equates to the VenderNo in Harmony
7. **FileDescription:** This is an abbreviated description of the type of file, for example an Inquiry file.
8. **Date:** This should be the date the file was submitted
9. **FileCounter:** This portion of the file name should be used when a provider submits more than 1 file on the same day; it should be incremented by 1 for each subsequent file.
10. **ext:** This will be a unique file extension which will determine which set of business rules will be operated upon this file.

The file must be in this format with a file extension of {asm} in order to upload successfully

Sample File name:

206_Discharge_20150715_01.asm

Enrollment Line format - Row 4

The enrollment line consists of 2 elements:

- Provider ID Number
- Enrollment Disposition: **Discharged**

***** If the diagnosis doesn't change from assessment no need to enter discharge diagnosis code, this is a required field if no diagnosis you will enter all pipes " | " delimiter (total 19 pipes)**

Header	Provider No MemberID for Worker Number of Records in the File Update desired?
Row 1	 means Begin Row Screen Design ID# CaseNo MemberID for Worker Date of Discharge Fund Code Status of Discharge
Row 2	-3 Last Name of Consumer -2 First Name of Consumer -1 Middle Initial of Consumer Question ID# Answer Question ID# Answer
Row 3	Diagnosis Code Diagnosis Code <Must have 19 marks in Row 4 of file in order to process even if items are left blank [NOTE: in example below, the entire diagnosis row is blank]
Row 4	Provider ID No Enrollment Disposition <ER> [< ER> means End Row]

206|228|1|Y|

2|358327|228|**20140506**|SA|||**Complete**|
-3|Testcase|-2|Fred|-1||200|No|201|**20100428**|202|**20100506**|203|Marijuana/Hashish|204|Marijuana/Hashish|205|1-2 times in the
past week|206|Other Opiates and Synthetics|207|Other Narcotic Analgesics|208|No use in the past
month|209|Benzodiazepines|210|Other Benzodiazepines|211|No use in the past month|213|Plan Includes Treatment for Co-occurring
Disorders|214|Resides with Family|215|Disabled|216|0|217|Left against professional advice (dropped out)|
||||||||||||||| -**required if no diagnosis enter total of 19 pipes**
206 | Discharged||<ER>