TRANSMITTAL SHEET FOR NOTICE OF INTENDED ACTION

Control 580 Rule No. 580		ent or Agency	Alabama Departmen	nt of Men	tal Health
Rule Title: Pe	X	Amend	Repeal	Adopt	by Reference
			ule significantly welfare, or safet	y?	YES
	and the p		between the state' the public health,		NO
Is there anoth regulation ava the public?			method of quately protect		NO
	increasi	ng the costs	ect of directly of any goods or at degree?		NO
Is the increase public than the absence of the	he harm t	hat might res	re harmful to the ult from the		NO
solely for the	e purpose	of, and so the	ocess designed hey have, as n of the public?		YES
manner any li	tigation '	which the age	or affect in any ncy is a party to e proposed rule?		NO
*****	*****	****	*****	*****	*****
Does the propo	osed rule	have an econo	omic impact?		<u>NO</u>
If the propose be accompanied Section 41-22	d by a fig	scal note prep	c impact, the prop pared in accordanc 975.	oosed rule e with su	e is required to ubsection (f) of
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compliance wit 1975, and that	th the rec	quirements of orms to all a	d rule has been pr Chapter 22, Title pplicable filing r f the Legislative	e 41, Code equiremen	e of Alabama nts of the
Signature of o	certifyin	g officer	llie From		
				1)	DATE FILED)

(STAMP)

Alabama Department of Mental Health Mental Health and Substance Abuse

NOTICE OF INTENDED ACTION

AGENCY NAME:

Alabama Department of Mental Health

RULE NO. & TITLE: 580-9-44-.02 Personnel

INTENDED ACTION: Amend

SUBSTANCE OF PROPOSED ACTION: We have created Chapter 580-2-20-.08 to eventually combine the current rules for Mental Illness in Chapter 580-2-9 and Substance Abuse in Chapter 580-9-44.

TIME, PLACE, MANNER OF PRESENTING VIEWS: All interested persons may submit data, views, or arguments in writing to Altorvise (Allie) Ligon, Director, Office of Certification Administration, Alabama Department of Mental Health, 100 North Union Street, Suite 540, Montgomery, Alabama 36130 by mail or in person between the hours of 8:00AM and 5:00Pm, Monday through Friday, or by electronic means to contactoca.dmh@mh.alabama.gov until and including December 5, 2022. Persons wishing to submit data, views or arguments orally should contact Ms. Ligon by telephone at (334) 353-2069 during this period to arrange for appointment.

FINAL DATE FOR COMMENT AND COMPLETION OF NOTICE: December 5, 2022

CONTACT PERSON AT AGENCY:

Persons wishing a copy of the proposal may contact: Altorvise (Allie) Ligon Department of Mental Health

100 North Union Street, Suite 540

Montgomery, Alabama 36130

(334) 353-2069

A copy of the proposed change is available on the department's website at https://mh.alabama.gov/division-of-administration/

(Signature of officer authorized to promulgate and adopt rules or his or her deputy)

580-9-44-.02 <u>Personnel</u>.

- (1) Qualified Treatment Personnel. The entity shall employ qualified and trained personnel to ensure the health, safety, and well-being of its clientele, and to support efficient utilization of its resources.
- (a) The entity shall develop, maintain, and document implementation of written policies and procedures to ensure that all personnel meet and remain current on credentials required for certification, licensure, and for job performance and service delivery as specified by these rules.
- (b) Program Coordinator. Each respective level of care provided by the entity shall be under the supervision of a Program Coordinator who meets the requirements of a QSAP I or QSAP II and the following criteria as written:
- 1. Manage the day-to-day operations of the respective level of care.
- 2. Ensure that the level of care is provided in accordance with the rules established, herein, and in accordance with the goals and objectives established for this service by the governing authority.
- 3. Meet the qualifications set forth in these rules for the respective level of care.
 - 4. Meet the following criteria:
- (i) A Program Coordinator supervising one (1) level of care at a single location may carry a caseload that does not exceed fifteen (15) individuals.
- (ii) A Program Coordinator may supervise multiple levels of care operating in one (1) location, but may not concurrently carry a caseload.
- (iii) A Program Coordinator may supervise one (1) level of care operating in multiple locations, but may not concurrently carry a caseload.
- (iv) A Program Coordinator may supervise multiple levels of care operating in multiple locations, but may not concurrently carry a caseload.

- (v) A Program Coordinator carrying a caseload may not function as a Clinical Supervisor.
- (c) Qualified Substance Abuse Professionals (QSAP). The entity shall utilize qualified substance abuse professionals, to provide treatment for individuals with substance related disorders.
- 1. QSAP I. A Qualified Substance Abuse Professional I (OSAP I) shall consist of:
- (i) An individual licensed in the State of Alabama as a:
- (I) Professional Counselor, Graduate Level Social Worker, Psychiatric Clinical Nurse Specialist, Psychiatric Nurse Practitioner, Marriage and Family Therapist, Clinical Psychologist, Physician's Assistant, Physician; or
 - (ii) An individual who:
- (I) Has a master's degree or above from a nationally or regionally accredited university or college in psychology, social work, counseling, psychiatric nursing, or other behavioral health area with requisite course work equivalent to that of a degree in counseling, psychology, social work, or psychiatric nursing, and
- (II) Has successfully completed a clinical practicum or has six month's post master's clinical experience; and
- (III) Holds a substance abuse counselor certification credential from the Alabama Association of Addiction Counselors, National Association of Alcoholism and Drug Abuse Counselors, Alabama Alcohol and Drug Abuse Association, or International Certification and Reciprocity Consortium/Alcohol and Other Drug Abuse, Inc. which shall be obtained within thirty (30) months of date of hire.
- (iii) A QSAP I is authorized to provide services as delineated in the most recent version of the ADMH Substance Abuse Services Billing Manual as published and maintained by ADMH.
- 2. QSAP II. A Qualified Substance Abuse Professional II (QSAP II) shall consist of:
 - (i) An individual who:
- (I) Has a Bachelor's Degree from a nationally or regionally accredited university or college in psychology, social

work, community, rehabilitation, or pastoral counseling, family therapy, or other behavioral health area that requires equivalent clinical course work, and

- (II) Is licensed in the State of Alabama as a Bachelor Level Social Worker; or
- (III) Has a Bachelor's Degree from a nationally or regionally accredited college or university in psychology, social work, community, rehabilitation, or pastoral counseling, family therapy, or other behavioral health area that requires equivalent clinical course work, and
- (IV) Holds a substance abuse counselor certification credential from the Alabama Association of Addiction Counselors, National Association of Alcoholism and Drug Abuse Counselors, Alabama Alcohol and Drug Abuse Association, or International Certification and Reciprocity Consortium.
- (ii) A QSAP II is authorized to provide services as delineated in the most recent version of the ADMH Substance Abuse Services Billing Manual as published and maintained by ADMH.
- 3. QSAP III. A Qualified Substance Abuse Professional III (QSAP III) shall consist of:
 - (i) An individual who:
- (I) Has a Bachelor's Degree from a nationally or regionally accredited university or college in psychology, social work, community, rehabilitation, or pastoral counseling, family therapy, or other behavioral health area that requires equivalent clinical course work, and
- (II) Participates in ongoing supervision by a certified or licensed QSAP I for a minimum of one (1) hour individual per week until attainment of a substance abuse counselor certification credential from the Alabama Association of Addiction Counselors, National Association of Alcoholism and Drug Abuse Counselors, or Alabama Alcohol and Drug Abuse Association, or International Certification and Reciprocity Consortium/Alcohol and Other Drug Abuse, Inc. which shall be obtained within thirty (30) months of hire.
- (ii) A QSAP III is authorized to provide services as delineated in the most recent version of the ADMH Substance Abuse Services Billing Manual as published and maintained by ADMH.
- 4. Qualified Paraprofessionals(QPP). A qualified paraprofessional shall have the following minimum qualifications:

- (i) A high school diploma or equivalent, and
- (ii) One (1) year of work experience directly related to job responsibilities and
- (iii) Concurrent participation in clinical supervision by a licensed or certified QSAP I.
- (iv) A QPP is authorized to provide services as delineated in the most recent version of the ADMH Substance Abuse Services Billing Manual as published and maintained by ADMH.
- 5. Peer Support Specialist. A peer support specialist must meet the following minimum qualifications:
- (i) Certified by ADMH as a Certified Recovery Support Specialist (CRSS) within six (6) months of date of hire, and
- (ii) Concurrent participation in clinical supervision by a licensed or certified QSAP I.
- (iii) A Peer Support Specialist is authorized to provide services as delineated in the most recent edition of the ADMH Substance Abuse Services Billing Manual as published and maintained by ADMH.
- 6. Students who are completing a graduate degree from a regionally accredited university or college in psychology, counseling, social work, or psychiatric nursing may conduct direct services under the following conditions:
- (i) The student is in a clinical practicum that is part of an officially sanctioned academic curriculum.
- (ii) The student receives a minimum of one (1) hour per week of direct clinical supervision (face to face) from a licensed or certified OSAP I.
- (iii) Any written clinical documentation generated by the student must be reviewed and signed by the supervising clinical staff member.
- (2) Staff Development. The entity shall develop, maintain, and document implementation of written policies and procedures that establish a staff development and training program for all employees, students, and volunteers. This program shall include, at a minimum, the following requirements:

- (a) Annual Training: During each calendar year, the entity shall provide training for each employee that addresses the following topics:
 - 1. Crisis intervention.
 - 2. Management of disruptive behavior.
 - 3. Suicide prevention/intervention.
 - 4. Confidentiality and privacy of client information.
- 5. Cultural competency relative to the program's target population.
- 6. Infectious disease prevention and management, to include at a minimum: TB, HIV/AIDS, Sexually Transmitted Diseases and Hepatitis.
 - 7. Program policies and procedures.
- 8. DMH Administrative Code specific to level(s) of care.
- (b) First Aid/Cardiopulmonary Resuscitation (CPR) Training. All staff of each substance abuse prevention, treatment, and recovery support program shall obtain, within one (1) month of hire, and continuously, thereafter, current certification in First Aid and CPR.
- (3) An individual who met the requirements of the Administrative Code 580-9-44-2 Personnel effective March 2012 and was employed prior to the approval of these rules shall be in good standing.

Author: Substance Abuse Services Division
Statutory Authority: Code of Ala. 1975, \$22-50-11.

History: New: Filed: July 22, 1992. Extended:
September 30, 1992. Extended: December 31, 1992. Certified:
March 30, 1993. Effective: May 5, 1993. Repealed and New Rule:
Filed January 26, 2012; effective March 1, 2012. Amended: Filed
January 8, 2018; effective February 22, 2018. Amended: Filed
October 16, 2018; effective November 30, 2018. Amended: Filed
October 19, 2022.